Frequently Asked Questions

What fees are charged by Roche Financial Partners?

We incorporate a "fee-only" pricing structure to best align Roche Financial Partners with your financial objectives. We have removed all conflicts of interest regarding our compensation with your goals. We do not accept sales commissions; we work solely for our clients. Our clients pay fees for objective and proficient financial advice that are far less than the commissions paid to a broker for the same services.

Our fees vary per service level. For more details, please review our **Fees** link.

This firm is not affiliated with entities that sell financial products or securities. Roche Financial Partners does not accept any type of finder fees or commissions.

Roche Financial Partners can recommend a low-cost custodian to minimize any fees charged to you by third parties or you can choose your own custodian. Any fees charged by third parties are separate from Roche Financial Partners. We are not affiliated with any custodians, fund companies, or broker dealers and are in no way compensated by those firms.

Where will my assets be custodied?

Roche Financial Partners will recommend a low-cost provider of high quality service. If you prefer to keep your assets at your current custodian or have another preferred custodian in mind, Roche will work with you to meet your needs.

How do I make Roche Financial Partners my investment manager?

If you would like to participate in any of our investment management services, please follow the steps described on our **Services page** or **contact us** for more information. We will guide you step-by-step through the process.

Can I contact Roche Financial Partners to discuss my financial situation without obligation?

We would be happy to help you determine if our services are right for you. You will have no obligation until you have decided to engage our services and our service contract is in place.

If I decide to terminate my contract with Roche Financial Partners, will I be penalized?

It is important to us that you understand that we are interested in your financial security and well-being. The service contract can be cancelled at any time and the client will be charged pro rata for the period of service that they have received, no more and no less.

Who has access to my assets?

You and any other owners that you have designated will be the only account owners who can access the assets in your account. As your investment manager, you will give Roche Financial Partners trading authority in your account so that we can make trades to buy or sell. At no time is Roche able to access the money in your account. You can view your account details at any time from the custodian’s internet services and client statements.